

## APPENDIX II. LEA or Multi-State Application

In addition to the receipt of the Annual Report, the [District Office Name] SBA District Office is also in receipt of an application for [LEA or Multi-state] status from [CDC Name] CDC. We have reviewed the request, and based on the information submitted, input from the processing and servicing centers, [District Name] District Counsel, and our knowledge of the organization and its principals, we believe they [meet/do not meet] the requirements for [LEA or Multi-State] status as set out in 13 CFR §120.835 and §120.837 as follows:

II.A – Local Economic Area (LEA)	District Office Assessment			
1. A certified copy of the Board resolution authorizing the application for territory expansion (LEA relating to specific counties).	Yes/No. [example]: Board Resolution [is/is not] included in the submission. [Include a description of Board resolution, including date and area of territory expansion.]			
2. A copy of an amendment to the Bylaws of the corporation and Articles of Incorporation, if necessary.	Yes/No. [example]: Amendments to the Bylaws and Articles of Incorporation are/are not included or are not required.			
3. Justification that the proposed expansion meets the definition of a Local Trade Area or is part of a Metropolitan Statistical Area (MSA), or the proposed expansion has been previously approved.	Yes/No. [example]: Justification for [MSA, previously approved Expansion Area, or a narrative identifying factors of a Local Trade Area] [are/are not] included in the submission. [Include a description of applicable items.]			
4. Evidence of Foreign Corporation Registration, if required (in state of requested expansion).	Yes/No. [example]: Certificate of Authority, or its equivalent, authorizing the CDC to do business in the state into which the CDC proposes to expand.			

II.B – Multi-State New Applications	District Office Assessment											
1. A certified copy of the Board Resolution approving the territory expansion (relating to specific states.)	Yes/No. [example]: Board Resolution [is/is not] included in the submission. [Include a description of Board resolution including date and area of territory expansion.]											
2. Listing of Loan Committee Members (in state of requested expansion).	<table border="1"> <thead> <tr> <th data-bbox="673 1677 813 1797">Name</th> </tr> </thead> <tbody> <tr> <td data-bbox="673 1803 813 1827"> </td> </tr> </tbody> </table>	Name		<table border="1"> <thead> <tr> <th data-bbox="828 1677 1032 1734">Address/Phone (home/work)</th> </tr> </thead> <tbody> <tr> <td data-bbox="828 1740 1032 1827"> </td> </tr> </tbody> </table>	Address/Phone (home/work)		<table border="1"> <thead> <tr> <th data-bbox="1047 1677 1268 1766">Field of Expertise/Entity Name</th> </tr> </thead> <tbody> <tr> <td data-bbox="1047 1772 1268 1827"> </td> </tr> </tbody> </table>	Field of Expertise/Entity Name		<table border="1"> <thead> <tr> <th data-bbox="1282 1677 1476 1797">Commercial Loan Experience (yes, no)</th> </tr> </thead> <tbody> <tr> <td data-bbox="1282 1803 1476 1827"> </td> </tr> </tbody> </table>	Commercial Loan Experience (yes, no)	
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<CDC Name>

Month XX, 20XX

<b>II.B – Multi-State New Applications</b>	<b>District Office Assessment</b>				
	<table border="1"><tr><td></td><td></td><td></td><td></td></tr></table>				
3. Lease for office space (in state of requested expansion).	Yes/No. [example]: Lease for office space [is/is not] included in the submission. [Include a description of lease including dates of occupancy and address of office location in the area of territory expansion.]				
4. A copy of an amendment to the Bylaws of the corporation and Articles of Incorporation, if necessary.	Yes/No. [example]: Amendments to the Bylaws and Articles of Incorporation are/are not included or are not required.				
5. Evidence of Foreign Corporation Registration (in state of requested expansion).	Yes/No. [example]: A certificate for Foreign Corporation Registration from the state of requested expansion area [is/is not] included. [Include a description (date, state) of the certificate.]				